

Attorney Docket No: NDIS-002/01US

PATENT

Express Mail Label Number: EL514032418US
Date of Deposit: November 5, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

By: _____

Douglas Gow

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Eric L. Schwartz, et al.

Serial No.: 09/917,228

Examiner: Not yet assigned

Filed: July 29, 2001

Art Unit: 2171

Confirmation No.: 9201

For: **METHOD AND APPARATUS FOR ANALYZING A PATIENT MEDICAL INFORMATION DATABASE TO IDENTIFY PATIENTS LIKELY TO EXPERIENCE A PROBLEMATIC DISEASE TRANSITION**

Attn: Official Draftsperson
Commissioner for Patents
Washington, D.C. 20231

LETTER TO OFFICIAL DRAFTSPERSON

Enclosed are 10 sheets of formal drawings to replace the informal drawings originally filed in this application.

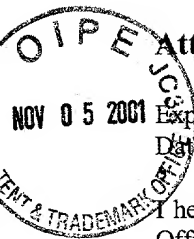
Cooley Godward LLP
Attn: Patent Group
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3000 El Camino Real
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588748 v1/PA
CM@4011.DOC
110501/1500

Respectfully submitted,
COOLEY GODWARD LLP

By: _____

William S. Galliani
Reg. No. 33,885

12-11-01

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\$ 12

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05 Nov. 2001

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Serial No.: 09/917,228

Examiner: Not yet assigned

Confirmation No.: 9201

Art Unit: 2171

Filed: July 29, 2001

For: **Method and Apparatus for Analyzing a Patient Medical Information Database to Identify Patients Likely to Experience a Problematic Disease Transition**

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e) mailed September 4, 2001, enclosed are:

- ☒ copy of the Notice to File Missing Parts of Application
- ☒ executed Declaration
- ☒ Power to Prosecute
- ☒ Assertion of Entitlement to Small Entity Status
- ☐ Application Data Sheet
- ☒ Other: Letter to Official Draftsperson with formal drawings (10 sheets) Fig 1-10
- ☐ A copy of the Official Filing Receipt marked in red to show corrections that are needed. Please make the following corrections in the Official Filing Receipt:

Issuance of a corrected filing receipt is respectfully requested.

09/917,228

and the payment of the following fee(s):

- ☒ Filing fee of \$716
☒ Surcharge fee for Late Filing of Declaration of \$65
☐ Other fees:

Total Fee due: \$781.

- ☒ Check No. 15810 in the amount of \$781 for the total fee is attached.
☐ Please charge \$_____ to Deposit Account No. 03-3117 for the total fee.
This paper is being submitted in duplicate.

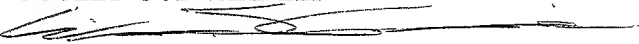
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

PA

Dated: November 5, 2001

Cooley Godward LLP
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Five Palo Alto Square
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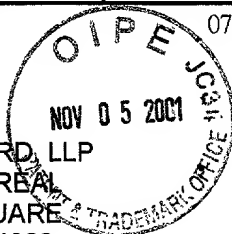


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,228	07/29/2001	Eric L. Schwartz	NDIS-002/01US

23419
COOLEY GODWARD, LLP
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PALO ALTO, CA 94306



CONFIRMATION NO. 9201

FORMALITIES LETTER



OC000000006508400

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$722.
 - \$162 for 9 total claims over 20.
 - \$560 for 7 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1562.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/13/2001 JADD01 00000021 033117 09317229
01 FC:201 370.00 OP
02 FC:202 294.00 OP
03 FC:203 81.00 OP
04 FC:205 36.00 OP
29.00 CH

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